

09/15/00
JCE330 U.S. PTO

09-18-00
SMITH, DANAMRAJ & YOST

A PROFESSIONAL CORPORATION

ATTORNEYS AND COUNSELORS
INTELLECTUAL PROPERTY LAW AND RELATED MATTERS

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JCE41 U.S. PTO
09/663151
09/15/00

September 15, 2000

Via Express Mail No.: EL593939865US

Box: New Applications
Commissioner for Patents
Washington, D.C. 20231

Re: Method and System for Executing Trades in a User Preferred Security
Attorney Docket No.: 1302-1001

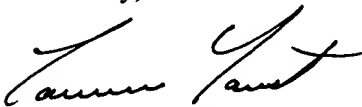
Dear Sir:

Enclosed for filing please find the following items relating to the above-identified application:

- (1) Original Patent Application with Formal Drawings;
- (2) Declaration and Power of Attorney;
- (3) Assignment with Cover Sheet;
- (4) Fee Calculation Cover Sheet;
- (5) Checks in the amount of \$1314.00 and \$40.00; and
- (6) Post Card.

Please file the above and return the date-stamped postcard to our office at the address listed above. In the meantime, if you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Sincerely,



Lawrence R. Youst
Reg. No. 38,795

Enclosures

09/18-00 A

IN THE U.S. PATENT AND TRADEMARK OFFICE

Box: New Application
Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application entitled:

Method and System for Executing Trades in a User Preferred Security

Enclosed are:

Application with formal drawings;
Signed Declaration and Power of Attorney;
Assignment with Cover Sheet;
Check in the amount of \$1170.00 and \$40.00 to cover the filing fees; and
Post Card.

Attorney Docket No.: 1302-100

"EXPRESS MAIL" mailing label number
EL593939865US Date of Deposit: September 15, 2000. I
certify that the accompanying Application is being deposited
with the United States Postal Service "Express Mail Post
Office to Addressee" service under 37 CFR 1.10 on the date
indicated above and is addressed to Box New Application;
Commissioner for Patents, Washington, DC 20231.

Lawrence R. Youst
Lawrence R. Youst

FEE CALCULATION					FEE
	NUMBER		NUMBER EXTRA	RATE	BASIC FEE \$ 690.00
Total Claims	46	- 20 =	26	x \$18 =	\$ 468.00
Independent Claims	5	- 3 =	2	x \$78 =	\$ 156.00
TOTAL FEES					\$ 1314.00

All correspondence related to this application may be addressed to the undersigned at Smith, Danamraj & Youst, P.C., 12900 Preston Road, Suite 1200, LB-15, Dallas, Texas 75230-1228.

Dated this 15th day of September, 2000

Lawrence R. Youst

Lawrence R. Youst
Attorney for Applicant(s)
Reg. No. 38,795